

An Application of Inverse Reinforcement Learning to Medical Records of Diabetes Treatment

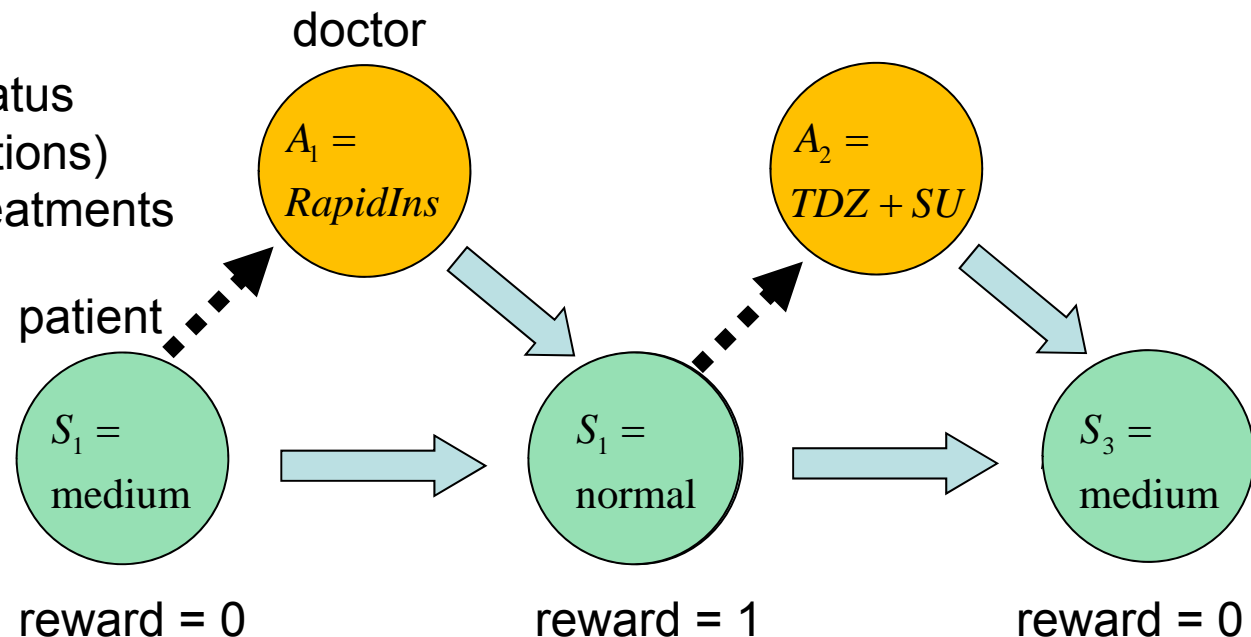
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Beyond Numeric Rewards

Introduction

- Long-term process of medical treatments for chronic diseases can be considered as interactions between patients and doctors
- We are exploring a MDP to model the long term interaction processes of disease treatment

- ✓ State: patient's Status (result of examinations)
- ✓ Action: medical treatments



Introduction

- Using the estimated MDP, we can
 - ✓ Predict progression of treatments
 - ✓ Evaluate value of patient's states
 - ✓ Evaluate value of doctor's actions

- Related Work
 - ✓ Optimal timing of living-donor liver transplantation [Alagoz+ 2004]
 - ✓ Optimal time to initiate HIV therapy [Shechter+ 2008]
 - ✓ Modeling treatment process of ischemic heart disease [Haskrecht+ 2000]

Introduction

- We focus on the process of controlling blood glucose level for type 2 Diabetes patients
 - ✓ Large social impact
 - 8.3% of the U.S. population (2011)
 - 11.6% of the total health care expenditure in the world for 2030
 - ✓ Lead to very serious complications including heart diseases

Data

- Records of patients cared at the University of Tokyo Hospital for their heart diseases (around 3,000 patients)
- We extracted patients with periodical visits
 - ✓ Interval between visits was more than 15 days and less than 75 days (around 1 month)
 - ✓ Longer than 24 visits
- 801 patients were extracted
 - ✓ Minimum length: 25 visits (around 2 years)
 - ✓ Maximum length: 124 visits (over 10 years)

Data

■ State: value of Hemogrobin-A1c (HbA1c)

Level	Normal	Medium	Severe
HbA1c	< 6.0	6.0 - 8.0	> 8.0

■ Action: pharmaceutical treatments

- ✓ Alpha-Glucosidase Inhibitor (α GI)
 - ✓ Biganoides (BG)
 - ✓ DPP4 Inhibitor (DPP4)
 - ✓ Insulin (Ins)
 - ✓ Rapid-Acting Insulin Secretagogue (RapidIns)
 - ✓ Sulfonyurea (SU)
 - ✓ Thiazolidinedion (TDZ)
- 7 types of drug
38 combination patterns
e.g. α GI+DPP4+SU

Data

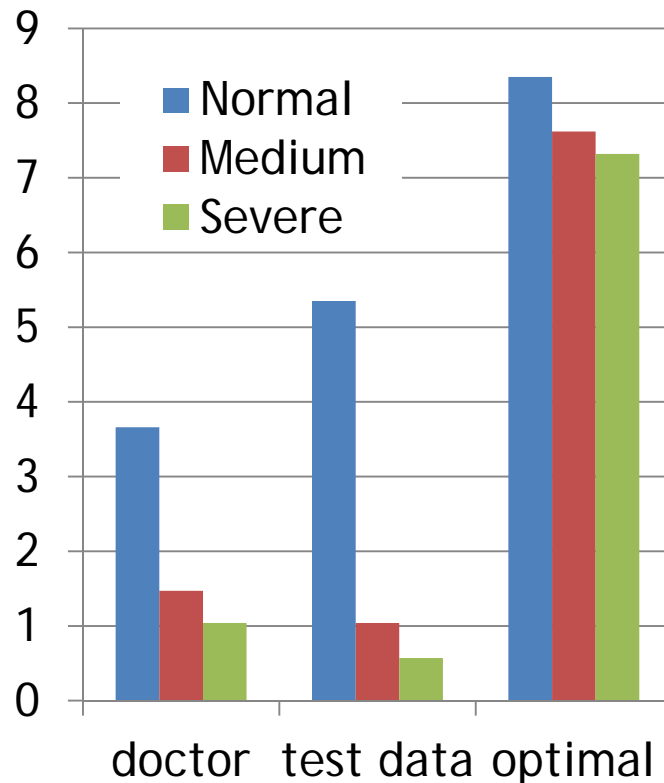
- Reward: No reward value in the data
- We assumed a simple reward: e.g.
 - ✓ if state == "normal" reward = 1
 - else reward = 0
- Example of an episode

time	state1	action	state2	reward
2000/1/1	medium	TDZ	medium	0
2000/2/3	medium	α GI+DPP4	normal	1
2000/3/1	normal	no action	normal	1
2000/4/5	normal	no action	medium	0

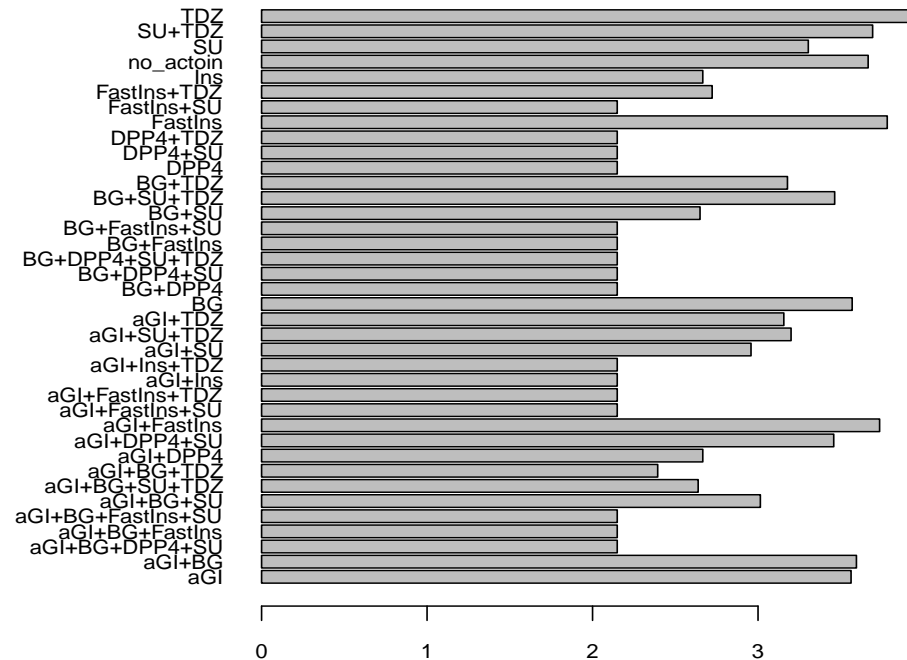
State Values and Action Values

- Estimate MDP parameters using Data
- Evaluate state/action values [Asoh+ 2013]

State Values of patients



Action Values of doctors for normal state patients



Issue

- Reward values are not in the data
- We assumed simple reward function based on the purpose of the analysis
- Question: What kind of reward the doctors have in their mind ?



- Applying IRL to the medical records

Algorithms of IRL

- Linear programming [Ng+ 2000]
- Quadratic programming [Abbeel+ 2004]
- Bayesian IRL [Ramachandran+ 2007]
- Extension of the Bayesian IRL
[Rothkopf+ 2011]

Bayesian IRL [Ramachandran+ 2007]

- Known MDP environment
- Finite discrete state space
- Reward depends only on state
 - ✓ Reward function R is represented as a vector
- Probabilistic generative model of experts' behavior (state-action pairs)

$$Pr_{\chi}((s, a) | R) = \frac{1}{Z} e^{\alpha_{\chi} Q^{*}(s, a; R)}$$

Bayesian IRL

- A sequential observation of experts' behaviours

$$O_\chi = \{(s_1, a_1), (s_2, a_2), \dots, (s_k, a_k)\}$$

- Posterior probability of reward vector

$$Pr_\chi(R|O_\chi) = \frac{1}{Z'} e^{\alpha_\chi E(O_\chi; R)} P_R(R)$$

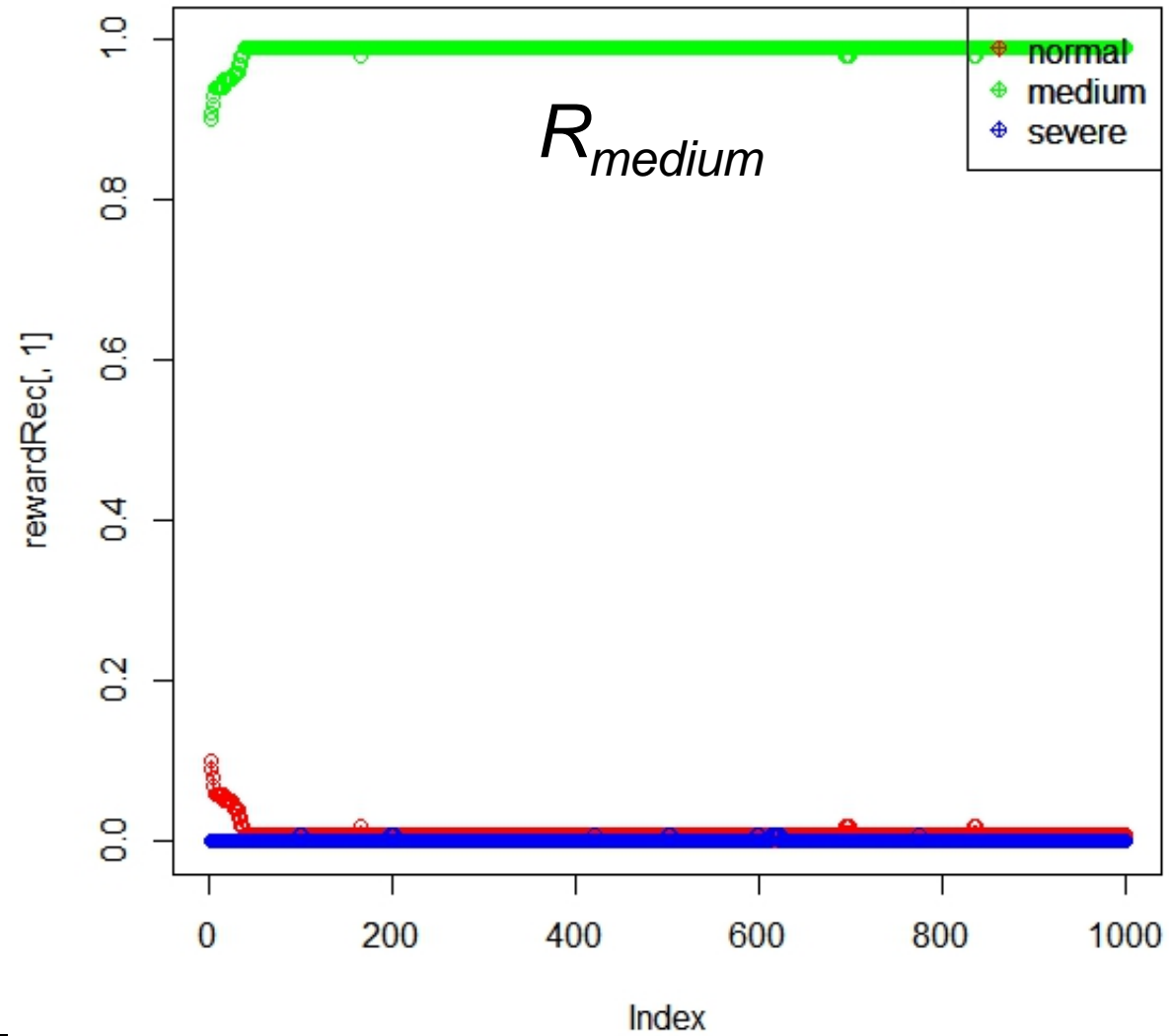
Prior Probability

$$E(O_\chi; R) = \sum_{i=1}^k Q^*(s_i, a_i; R)$$

Bayesian IRL

- MCMC Sampling from the posterior distribution or reward vector
- Policy Walk algorithm
 - ✓ Combining Policy Iteration for MDP and Metropolis-Hastings Algorithm
- $R = (R_{normal}, R_{medium}, R_{severe})$
 $R_{normal} + R_{medium} + R_{sever} = 1$

Result



Discussion

- Converged to $R^*=(0.01, 0.98, 0.01)$

R	(0.98, 0.01, 0.01)	(0.01, 0.98, 0.01)	(0.01, 0.01, 0.98)
Log-likelihood	-159878	-143568	-162928

- Possible causes of the counter-intuitive result

- ✓ Many of the patients were already in the “medium” state when they came to the hospital

State	normal	medium	severe
Rel. Frequency	0.178	0.65	0.172

- ✓ keeping the patients’ state at “medium” may be the best-effort target of doctors.

Discussion

- Other possible causes of the counter-intuitive result
 - ✓ the MDP model is too simple to model the decision-making process of doctors
 - ✓ assuming that the reward value depending only on the current state is too simple
 - ✓ heterogeneity of doctors and patients is not properly considered

Discussion

- Comparison between
 - ✓ the doctors' policy and
 - ✓ the optimal policy under the estimated reward value R^*

State	normal	medium	severe
Optimal policy under R^*	BG+SU	α GI+BG+SU+TDZ	DPP4
Doctors' policy	SU	SU	SU
	α GI	BG+SU	BG+SU
	TDZ	α GI	BG

Summary

- The process of medical treatment for diabetes was modeled with a MDP
- A Bayesian IRL algorithm was applied to the MDP environment
- The result was counter-intuitive
 - ✓ Reward for “medium” state of patient is high

Future Study

- Detailed validation of the result
 - ✓ Using different algorithms
 - ✓ Using different state representations

- More complex decision-making model may be necessary
 - ✓ Introducing medical knowledge regarding pharmaceutical treatments
 - ✓ Consulting guidelines for treatment
 - ✓ Detailed modeling of physicians' therapeutic decisions [Toussi+ 2009]

Thank you, and
we would like to learn more
from your “non numeric” feedbacks!